

# INVESTMENT MANAGER

UTI Asset Management Company Private Limited



UTI Tower, Gn Block,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai - 400 051.

## uti SPREAD FUND

(UTI-Spread between Prices of Equity And Derivative Fund)

### KEY INFORMATION MEMORANDUM

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, Investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offer Document available free of cost at any of the UTI Financial Centres or distributors or from the website [www.utimf.com](http://www.utimf.com).

The scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

### UTI-SPREAD Fund

(An open-ended fund investing in a mix of equity, equity derivatives, debt and money market instruments)

<b>Investment Objective</b>	The investment objective of the scheme is to provide capital appreciation and dividend distribution through arbitrage opportunities arising out of price differences between the cash and derivative market by investing predominantly in Equity & Equity related securities, derivatives and the balance portion in debt securities. However, there can be no assurance that the investment objective of the scheme will be realised.		
<b>Asset Allocation Pattern of the scheme</b>	<b>Types of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>	<b>Risk Profile</b>
	Equity and equity related instruments	65-90%	Medium to High
	Derivatives including Index Futures, Stock Futures, Index Options and Stock Options.*	65-90%	Medium to High
	Money Market, Debt instruments, Securitised debt and call money.	10-35%	Low to Medium
	However, where the scheme has no opportunities in the cash and derivative market, we expect the asset allocation to be as follows:		
	<b>Types of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>	<b>Risk Profile</b>
	Equity and equity related instruments	0-65%	Medium to High
	Derivatives including Index Futures, Stock Futures, Index Options and Stock Options.*	0-65%	Medium to High
	Money Market, Debt instruments, Securitised debt and call money.	35-100%	Low to Medium
	The above percentages are indicative and not absolute. * The exposure to derivatives shown in the above asset allocation tables is the exposure taken against the underlying equity investments and should not be considered for calculating the total asset allocation. The idea is not to take additional asset allocation with the use of derivatives. The notional value exposure in derivatives securities would be reckoned for the purposes of the specified limits. The margin money deployed on these positions would be included in the Money Market/Debt category. The entire derivatives position for the scheme will be taken with a view to hedge the corresponding equity exposures entirely. The scheme, under no circumstances, will take a directional / unhedged position in either equity or derivative instruments.		
<b>Risk Profile of the Scheme</b>	Mutual Fund investments are subject to market risks. Please read the Offer Document carefully for details on risk factors before investment.		
<b>Plans and Options</b>	The Scheme will have two options viz. (i) Growth Option and (ii) Dividend Option with compulsory reinvestment of dividend.		
<b>Eligible Investors</b>	Any resident or non-resident Indian as well as non-individuals as indicated below may make an application for purchase of units: (a) a resident individual or a NRI or person of Indian origin residing abroad either singly or jointly with another or up to two other individuals on joint/anyone or survivor basis. An individual may make an application in his personal capacity or in his capacity as an officer of a Government or of a Court, (b) a parent, step-parent or other lawful guardian on behalf of a resident or a NRI minor. An application cannot be made by an adult and minor jointly, (c) an association of persons or body of individuals whether incorporated or not, (d) a Hindu Undivided Family both resident and non-resident,		

	<p>(e) a body corporate including a company formed under the Companies Act, 1956 or established under State or Central Law for the time being in force,</p> <p>(f) a bank including a scheduled bank, a regional rural bank, a co-operative bank, etc,</p> <p>(g) an eligible trust including Private Trust being irrevocable trust and created by an instrument in writing,</p> <p>(h) a society as defined under the scheme,</p> <p>(i) a Financial Institution,</p> <p>(j) an Army/Navy/Air Force/Paramilitary Fund,</p> <p>(k) a partnership firm,</p> <p>(l) FIs registered with SEBI,</p> <p>(m) Mutual Funds,</p> <p>(n) Scientific and Industrial Research Organisations,</p> <p>(o) Mutual Fund schemes, as may be permitted by SEBI from time to time,</p> <p>(p) Any other category of investors.</p>												
<b>Applicable NAV</b>	<b>Purchases:</b>	<b>Operation</b>	<b>Cut-off Time</b>	<b>Applicable Price*</b>									
		Valid applications with local cheques / demand drafts payable at par at the place where the application is received.	Upto 3 p.m.	Purchase price of the day on which the application is received.									
		Valid applications with local cheques / demand drafts payable at par at the place where the application is received.	After 3 p.m.	Purchase price of the next business day.									
		Valid applications received with outstation cheques / demand drafts (for the investors as permitted in the offer document) not payable at par at the place where the application is received.	Within business hours	Purchase price of the day on which cheque / demand draft is credited to the Scheme.									
	<p><b>Redemption :</b></p> <p>Redemption shall be in terms of Interval Period defined through illustrative example given below. However, the Trustees reserve the right to alter the interval period depending on the market conditions, which in their opinion is prejudicial to the interest of the unitholders in the scheme.</p> <p>All applications for redemptions shall be accepted at all Official Points of acceptance by 3.00 p.m. or such other time as may be prescribed by UTI AMC from time to time on a particular day. All redemption / switch-out requests received till Friday (In case such Friday is a holiday then the last Business Day) of the week preceding the Interval Period, would be processed at the NAV of the Interval Period.</p> <p>The Interval period will be the settlement Thursday (the settlement day for derivatives segment in the NSE which is currently last Thursday of the month) or any day which is declared as the settlement day for Derivatives segment by the NSE.</p>												
	<p><b>Illustrative Example :</b></p> <table border="1"> <thead> <tr> <th>Interval Period</th> <th>Applications for Redemption / Switchout</th> <th>Applicable NAV for the Redemption/Switchout</th> </tr> </thead> <tbody> <tr> <td>For July' 07 - 26.07.2007</td> <td>all redemption requests received on 22.06.2007 after 3.00 p.m. and during the period 23.06.2007 to 20.07.2007 before 3.00 p.m.</td> <td>NAV of 26.07.2007</td> </tr> <tr> <td>For August' 07 - 30.08.2007</td> <td>all redemption requests received on 20.07.2007 after 3.00 p.m. and during the period 21.07.2007 to 24.08.2007 before 3.00 p.m.</td> <td>NAV of 30.08.2007</td> </tr> </tbody> </table>				Interval Period	Applications for Redemption / Switchout	Applicable NAV for the Redemption/Switchout	For July' 07 - 26.07.2007	all redemption requests received on 22.06.2007 after 3.00 p.m. and during the period 23.06.2007 to 20.07.2007 before 3.00 p.m.	NAV of 26.07.2007	For August' 07 - 30.08.2007	all redemption requests received on 20.07.2007 after 3.00 p.m. and during the period 21.07.2007 to 24.08.2007 before 3.00 p.m.	NAV of 30.08.2007
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<b>Minimum Application Amount/Number of Units</b>	Minimum initial investment is Rs.5,000/-. Subsequent minimum investment under a folio is Rs.1,000/- and in multiples of Re.1/- thereafter with no upper limit.												
<b>Despatch of Redemption Request</b>	Cheques for redemption proceeds shall be despatched within 10 business days from the date of relevant period on which the redemption transaction is effected.												
<b>Benchmark Index</b>	CRISIL Liquid Fund Index												
<b>Dividend Policy</b>	Dividend distribution, will be compulsorily reinvested in further units at the NAV on the record date less dividend distributed per unit, it will be the endeavour of the scheme to declare dividends monthly, subject to availability of distributable surplus as computed in accordance with SEBI Regulations.												
<b>Name of the Fund Manager</b>	Harsha Upadhyaya												
<b>Name of the Trustee Company</b>	UTI Trustee Company Private Limited												
<b>Performance of the scheme as on 29 June 2007</b>	<b>Compounded Annualised Returns*</b>	<b>Scheme Returns (%)</b>	<b>CRISIL Liquid Fund Index (%)</b>										
	Last 1 year	8.57	7.42										
	Since Inception	8.40	7.27										
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	Assuming that all payouts during the period have been reinvested in the units of the scheme at the immediate ex-div NAV.Past performance may or may not be sustained in the future		
<b>Expenses of the Scheme</b>	<b>Entry Load : For all amounts – Nil</b>		
<b>(i) Load Structure</b>	<b>Exit Load :</b>		
	<b>Application size</b>	<b>Holding Period (Days)</b>	<b>As % of NAV</b>
	< Rs.2 Crs	<=180 days	0.75%
	>= Rs.2 Crs	<=25 days	0.50%
	The date of redemption is the date of relevant interval period on which redemption transaction is effected.		
<b>(ii) Recurring Expenses</b>	(a) First Rs.100 crores	–	2.50%
	(b) Next Rs.300 crores	–	2.25%
	(c) Next Rs.300 crores	–	2.00%
	(d) Balance	–	1.75%

## Tax Treatment for the Investors (Unitholders)

The disclosures in respect of tax benefits to the Mutual Fund and the unitholders is in accordance with the prevailing tax laws. The information stated below is based on UTI Mutual Fund's understanding of the tax laws and only for the purposes of providing general information to the investors of the Scheme. As in the case with any investment there can be no guarantee that the tax position prevailing at the time of investment in the Scheme will endure indefinitely.

Further statements with regard to tax benefits mentioned herein below are mere expressions of opinion and are not representations of the Mutual Fund to induce any investor to acquire units whether directly from the Mutual Fund or indirectly from any other persons by the secondary market operations. Thus the prospective investors should not treat the contents of this section of the memorandum as advice relating to legal, taxation, investment or any other matter and are advised to consult his or her own tax consultant with respect to the specific tax implications arising out of his or her participation in the Scheme.

### 1. Tax issues concerning Mutual Fund

UTI Mutual Fund is a Mutual Fund registered with SEBI and as such is eligible for benefits under section 10 (23D) of the Income Tax Act, 1961 (hereinafter referred to as "the Act") to have its entire income exempt from income tax.

The Mutual Fund will receive income without any deduction of tax at source under the provisions of Section 196(iv) of the Act.

By virtue of section 45 of the Wealth Tax Act, 1957, wealth tax is not chargeable in respect of net wealth of a Mutual Fund registered under section 10(23D) of the Income Tax Act, 1961, hence UTI Mutual Fund is not liable to pay Wealth Tax under the provisions of the Wealth Tax Act, 1957.

### 2. Tax issues concerning Unit holders

#### A. Tax on income in respect of units

As per the section 10(35) of the Act, income received by investors under the schemes of UTI MF is exempt from income tax in the hands of the recipient unit holders.

- (a) By virtue of proviso to section 115 (R) (2) of the Act, equity oriented funds are exempt from income distribution tax.
- (b) As per section 115T of the Act, equity oriented fund means such fund where the investible funds are invested by way of equity shares in domestic companies to the extent of more than sixty five percent of the total proceeds of such fund; Provided that the percentage of equity share holding of the fund shall be computed with reference to the annual average of the monthly averages of the opening and closing figures.

#### TDS on income of units

As per the provisions of section 194K and section 196A of the Act where any income is credited or paid on or after 1st April 2003 by a Mutual Fund, no tax is required to be deducted at source.

#### B. Tax on capital gains

##### i) Long Term Capital Gains

As per section 10(38) of the Act, any income arising from the transfer of a long term capital asset being a unit of an Equity Oriented Fund chargeable to securities transaction tax shall not form part of total income therefore, exempt from Income Tax.

As per section 10(38) of the Act, equity oriented fund means a fund where the investible funds are invested by way of equity share in domestic companies to the extent of more than sixty five percent of the total proceeds of such fund: and which has been set up under a scheme of a mutual fund specified under section 10(23D) of the Income Tax Act, 1961:

Provided that the percentage of equity share holding of the fund shall be computed with reference to the annual average of the monthly averages of the opening and closing figures.

##### ii) Short term capital gains

Units held for not more than twelve month's preceeding the date of their transfer are short term capital assets. Capital gains arising from the transfer of short term capital assets being unit of an equity oriented fund which is chargeable to STT shall be liable to income tax @ 10% under section 111 A of the Act. The said tax rate would be increased by applicable surcharge i.e. @ 10% for Individuals, HUF, AOP, BOI having total income above Rs.10 Lakhs, @ 10% for firms and domestic company, @ 2.5% for non-domestic company and @ Nil for Co-operative Society or local authority. The tax and surcharge will be increased by education cess @ 2% and secondary and higher education cess @ 1% on amount of tax plus surcharge.

##### iii) Securities Transaction Tax (STT)

As per Chapter VII of Finance (No. 2) Act, 2004 relating to Securities Transaction Tax (STT), with effect from June 01, 2006, the STT shall be payable by the seller at the rate of 0.25% on the sale of unit of an equity oriented fund to the Mutual Fund. The STT shall be collected by the Mutual Fund at source.

As per section 88E of the Income Tax Act, 1961 where the total income of an assessee in a previous year includes any income, chargeable under the head "Profits and Gains of business or profession", arising from taxable securities transaction,

the assessee, shall be entitled to a deduction, from the amount of income tax on such income arising from such transactions, computed in the manner as specified under section 88E, of an amount equal to the securities transaction tax paid by the assessee in respect of the taxable securities transactions entered into in the course of business during that previous year.

### C. TDS on Capital Gains

#### 1. (i) Resident Investors

As per Central Board of Direct Taxes ('CBDT') circular No.715 dated 8th August 1995, in case of resident unitholders no tax is required to be deducted from capital gains arising at the time of redemption of the units.

#### (ii) For Non Resident Investors

##### Long term capital gains

No tax would be deducted from the proceeds payable to non resident investors from long term capital gains arising out of redemption of units of an equity oriented fund.

##### Short term capital gains

As per Part II of the First Schedule to the Finance Act 2007 {Clause 1 (b) (i) (C)}, the Mutual Fund is liable to deduct tax @ 10% on short term capital gains.

The TDS will have to be increased by applicable surcharge. Further and education cess @ 2% and secondary and higher education cess @ 1% would be charged on amount of tax and surcharge.

#### 2. In the case of a Company

##### Other than a Domestic Company:

##### Long term capital gains

No tax would be deducted from the proceeds payable to non resident investors from long term capital gains arising out of redemption of units of an equity oriented fund.

##### Short term capital gains

As per Part II of the First Schedule to the Finance Act 2007 {Clause 2 (b) (vii)}, the Mutual Fund is liable to deduct tax @ 10% on short term capital gains.

The TDS will have to be increased by applicable surcharge. Further an education cess @ 2% and secondary and higher education cess @ 1% would be charged on amount of tax and surcharge.

#### 3. Foreign Institutional Investors (FIIs):

In the case of Foreign Institutional Investors (FIIs), no tax would be deductible at source from the capital gains arising on redemption of units in view of section 196 D (2) of the Act.

##### Double Taxation Avoidance Agreement (DTAA):

As per Circular No. 728 dated October 30, 1995 issued by the CBDT, in the case of remittance to a country with which a DTAA is in force, the tax should be deducted at the rate provided in the Finance Act of the relevant year or at the rate provided in the DTAA, whichever is more beneficial to the assessee. In order for the unitholder to obtain the benefit of a lower rate available under a DTAA, the unit holder will be required to provide the Mutual Fund with a certificate obtained from his Assessing Officer stating his eligibility for the lower rate.

### D. Short term capital losses:

According to section 94(7) of the Act as amended by the Finance (No.2) Act, 2004, if any person buys or acquires units within a period of three months prior to the record date fixed for declaration of dividend or distribution of income and sells or transfers the same within a period of nine months from such record date, then losses arising from such sale to the extent of income received or receivable on such units, which are exempt under the Act, will be ignored for the purpose of computing his income chargeable to tax.

Further, Finance (No.2) Act, 2004 has inserted sub-section (8) in Section 94 which provides that, where additional units have been issued to any person without any payment, on the basis of existing units held by such person then the loss on sale of original units shall be ignored for the purpose of computing income chargeable to tax, if the original units were acquired within 3 months prior to the record date fixed for receipt of additional units and sold within 9 months from such record date. However, the loss so ignored shall be considered as cost of acquisition of such additional units held on the date of sale by such person.

E. Investment in units of the Mutual Fund will rank as eligible form of investment under section 11(5) and section 13 of the Act read with Rule 17C(i) of the Income Tax Rules, 1962, for Public Religious & Charitable Trust.

F. Units of Mutual Fund are not covered under the definition of 'assets' under section 2(ea) of the Wealth Tax Act, 1957, and hence value of investment in units under the scheme is completely exempt from Wealth Tax.

G. The Gift Tax Act, 1958 has abolished the levy of Gift Tax in respect of gifts made on or after 1st October 1998. Thus, gifts of units on or after 1st October, 1998 are exempt from Gift Tax.

Further, subject to certain exceptions, gifts from persons exceeding Rs.25,000/- are taxable as income in the hands of donee on or after 1st September 2004 pursuant to section 2(24)(xiii) of the Act read with section 56(2)(v) of the Act.

<b>Daily Net Asset Value (NAV) Publication</b>	The NAVs shall be issued to two daily newspapers for publication on a daily basis and will also be available on website of UTI Mutual Fund, <a href="http://www.utimf.com">www.utimf.com</a> and website of AMFI namely <a href="http://www.amfiindia.com">www.amfiindia.com</a> .	
<b>For Investor Grievance please contact</b>	<b>Name and Address of Registrar</b> M/s. Karvy Computershare Pvt. Ltd., 21, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034. Tel.: 23312454 / 23320751 Fax: 23311968 e-mail: <a href="mailto:customercare@karvy.com">customercare@karvy.com</a>	<b>All investors could refer their grievances giving full particulars of investment at the following address:</b> Shri K P Ghosh, UTI AMC (P) Ltd., UTI Tower, Gn Block, Bandra - Kurla Complex, Bandra (East), Mumbai - 400 051. Tel : 6678 6666, Fax : 2652 3031 Investors may post their grievances at our website: <a href="http://www.utimf.com">www.utimf.com</a>
<b>Unitholders Information</b>	Accounts statement (on each transaction) and annual financial results shall be provided to investors by post/ any other mode. Half yearly scheme portfolio disclosure will be mailed to unitholders or published in the newspapers as permitted under SEBI (Mutual Funds) Regulations, 1996.	
Date: July 30, 2007		



## CORPORATE OFFICE

UTI Tower, 'Gn' Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400051. Tel.: 6678 6666

## OFFICIAL POINTS OF ACCEPTANCE

### UTI FINANCIAL CENTRES

#### AHMEDABAD REGION

**Ahmedabad:** 101 A&B, Super Mall, Near Lal Bungalow, CG Road, Ahmedabad-380 006, Tel: (079) 26462180/26462905, **Ajmer:** Uday Jyoti Complex, First Floor, India Motor Circle, Kutchery Road, Ajmer-305 001, Tel: (0145) 2423948, **Baroda:** 'Meghdhanush' 4th & 5th Floor, Transpek Circle, Race Course Road, Baroda-390 015, Tel:2336962, **Jaipur:** Anand Bhavan, 3rd Floor, Sansar Chandra Marg, Jaipur-302 001, Tel:2365212, **Jodhpur:** 1st Floor, Minerva Centre, Station Road, Jodhpur-342 001, Tel:2645229, **Rajkot:** Race Course Plaza, Shop No. 5,6,7, Ground Floor, Near Income Tax, Rajkot-360 001, Tel:(0281)2433525/244 0701, **Surat:** B-107/108, Tirupati Plaza, Near Collector Office, Athwa Gate, Surat-395 001, Tel: (0261) 2474550, **Satellite Office Kota:** B 3, Vallabh Nagar, Kota - 324 007. Tel: 9829231268.

#### BANGALORE REGION

**Bangalore:** (1) B - 14 & B - 15, Gr Floor, Devatha Plaza, 132 Residency Road, Bangalore - 560 025. Tel. No.:(080) 2558 5382/2559 5089, (2) 427 / 14-1, Harmony, 9th Main Road, Near 40th Cross, 5th Block, Jayanagar, Bangalore - 560 041 Tel: (080) 22440837, 64516489, (3) No.60, Maruthi Plaza, 8th Main, 18th Cross Junction, Malleswaram West, Bangalore-560 055, Tel. : 080-23340672, **Cuddapah:** No. 2/790, Sai Ram Towers, Nagarajpeta, Cuddapah-516 001, Tel: (08562) 222121/131, **Hubli:** 1st Floor, Kalburgi Square, Desai Cross, T B Road, Hubli - 580 029,Dist Dharwad,Karnataka State, Tel: 0836 - 2363963/64, **Hyderabad:** (1) Lala II Oasis Plaza, 1st floor, 4-1-898 Tilak Road, Abids, Hyderabad-500 001. Tel: 24750281 / 24750381/382, (2) 6-3-679, First Floor, Elite Plaza, Opp. Tanishq, Green Land Road, Punjagutta, Hyderabad-500 082, Tel:040-23417246, (3) 10-2-99/1, Ground Floor, Sterling Grand CVK, Road No. 3, West Marredpally, Secunderabad-500 026, Tel:27711524, **Mangalore:** 1st Floor, Essel Tower, Bunts Hostel Circle, Mangalore-575 003, Tel:2426290, **Vijaywada:** 29-37-123, 1st Floor, Dr. Sridhar Complex,Vijaya Talkies Junction, Eluru Road, Vijaywada-520 002, Tel:(0866) 2444819, **Visakhapatnam:** 202, 1st Floor, Door No.9-1-224/4/4, Above Lakshmi Hyundai Car Showroom, C.B.M. Compound, Near Ramataalkies Junction, Visakhapatnam - 530 003, Tel : 2550 275.

#### CHANDIGARH REGION

**Amritsar:** 69, Court Road, Amritsar-143001, Tel: 2564388, **Chandigarh:** Jeevan Prakash (LIC Bldg.), Sector 17-B, Chandigarh-160 017, Tel:2703683, **Ludhiana:** Ground Floor, S CO 28, Feroze Gandhi Market, Ludhiana-141 001, Tel: (0161) 2441264, **Shimla:** Flat No. 401 & 402, Mukesh Apts., Fingask Estate, Near Hotel Sheel, Shimla-171 003, Tel:2657803.

#### CHENNAI REGION

**Chennai:** (1) "Ruby Regency", First Floor, New No.69/4, (Old Door No.65/4), Anna Salai, Chennai-600 002, Tel: (044) 2851 1727/2851 4466, (2) W 123, III Avenue, Annanagar, Chennai – 600 040, Tel: 55720030, (3) 1st Floor, 29, North Usman Road, T Nagar, Chennai-600 017, Tel: 55720011/12, **Cochin:** Muthoot Tower, 1st Floor, MG Road, Opp. Abad Plaza Hotel, Ernakulam, Cochin-682 035, Tel: (0484) 2380259/2368743, **Coimbatore:** U R House, 1st Floor, 1056-C, Avinashi Road, Opp. Nilgiris Dept. Stores, Coimbatore-641 018, Tel: 2214973, **Madurai:** Tamil Nadu Sarvodaya Sangh Bldg., 108, T. P. K. Road, Madurai-625001, Tel:2333317, **Thiruvananthapuram:** T C 15/49(2), 1st Floor, Saran Chambers, Vellayambalam, Thiruvananthapuram-695 010, Tel: (0471) 2723674, **Trichur:** 26/ 621 - 622, Kollannur Devassy Building, 1st Floor, Town Hall Road, Thrissur - 680 020, Tel. No.:(0487) 2331 259/495, **Trichy:** Kingston Park No. 19/1, Puthur High Road, (Opp. Aruna Theatre), Puthur, Tiruchirappalli-620 017, Tel. : 0431-2770713.

#### DELHI REGION

**New Delhi:** (1) Gulab Bhawan, 2nd Floor, 6, Bahadur Shah Zafar Marg, New Delhi-110 002, Tel: 23739492, 23311108 (2) G-5-10 Aggarwal Cyber Plaza, Netaji Subhash Place, Pitam Pura, Delhi – 110 034, Tel:27351001, (3) Savitri Bhawan, 1st & 2nd Floor, Plot no.3 & 4, Preet Vihar Community Centre, Delhi 110092, Tel: 22529374, 22529379, (4) G-7, Hemkunt Tower (Modi Tower), 98, Nehru Place (Near Paras Cinema), New Delhi-110 019, Tel:28898128, **Dehradun:** 56, Rajpur Road, Hotel Classic International, Dehradun-248 001, Tel:2743203, **Faridabad:** Shop No 6, First Floor, Above UTI Bank, Crown Complex, 1 & 2 Chowk, NIT, Faridabad-121 001, Tel: (0129) 2424771, **Ghaziabad:** 41, Navyug Market, Near Singhani Gate, Ghaziabad-201 001, Tel:2790366.

#### KOLKATA REGION

**Kolkata:** (1) 29, Netaji Subhash Chandra Road, Kolkata-700 001, Tel: 22134838, (2) Ground Floor, 99 Park View Appt., Rash Bihari Avenue, Kolkata - 700 029, Tel.: 24639811, (3) AD-55, Sector-1, Salt Lake City, Kolkata-700 064, Tel.: 23371985, **Bhubaneswar:** 1st & 2nd Floor, OCHC Bldg., 24, Janpath, Kharvela Nagar, Nr. Ram Mandir, Bhubaneswar-751 001, Tel: 0674-2410995, **Durgapur:** 3rd Administrative Bldg., 2nd Floor, Asansol Durgapur Dev. Authority, City Centre, Durgapur-713216, Tel:2546831, **Guwahati:** 1st Floor, Hindustan Bldg., M.L. Nehru Marg, Panbazar, Guwahati-781001, Tel:2545870, **Jamshedpur:** 1-A, Ram Mandir Area, Gr. & 2nd Floor, Bistupur, Jamshedpur-831 001, Tel:0657-2756074, **Kharagpur:** M/s. Atwal Real Estate Pvt. Ltd., India, O.T. Road, Kharagpur, Paschim Midnapore - 721 305, Tel: 0322-228518, **Ranchi :** Shop No. 8 & 9, SPG Mart, Commercial Complex, Old H B Road, Bahu Bazar, Ranchi-834 001, Tel:(0651) 2150 206/07, **Siliguri:** Ground Floor, Jeevan Deep Bldg., Gurunanak Sarani, Sevoke Rd., Siliguri-734 401, Tel: 2535199.

#### LUCKNOW REGION

**Agra:** Ground Floor, 'C' Block, Jeevan Prakash, Sanjay Place, Mahatma Gandhi Marg, Agra-282 002, Tel: 2858046, **Allahabad:** 4, Sardar Patel Marg, 1st Floor, Civil Lines, Allahabad-211 001, Tel: 2561016, 2561028 **Kanpur:** 16/79-E, Civil Lines, Kanpur-208 001, Tel: 2304278, **Lucknow:** Regency Plaza Building, 5, Park Road, Lucknow-226 001, Tel: 2238598, **Patna:** Gr. & 5th Floor, Jeevan Deep Bldg., Exhibition Road, Patna-800 001, Tel: 2235001, **Varanasi:** 1st Floor, D-58/2A- 1, Bhawani Market, Rathyatra, Varanasi-221 010, Tel: 2226881.

#### MUMBAI REGION

**Lotus Court:** (1) Lotus Court Building, 196, Jamsheji Tata Road, Backbay Reclamation, Mumbai-400020, Tel: (022) 22821357, (2) UTI Tower, 'Gn' Block, Ground Floor, Bandra-Kurla Complex, Bandra (E), Mumbai-400051, Tel: (022) 66786354 / 6101, (3) Shradha Shopping Arcade, 1st Floor, S.V. Road, Borivali (West), Mumbai-400092, Tel: (022) 28980521/ 5081, (4) Shop No.1-4, Ground Floor, Sai Plaza, Junction of Jawahar Road and R. B. Mehta Road, Near Ghatkopar Rly Station, Ghatkopar (East), Mumbai - 400 077, Tel: (022) 2516 7833 / 7812 / 7952, (5) Unit No.2, Block 'B', Opp. JVPD Shopping Centre, Gul Mohar Cross Road No.9, Andheri (W), Mumbai-400049, Tel: (022) 26201995 / 26239841, (6) A-1, Ground Floor, Delphi Orchard Avenue, Hiranandani Business Park, Hiranandani Gardens, Powai, Mumbai – 400 076, Tel: 67536797/ 98, **Kalyan:** Ground Floor, Jasraj Commercial Complex, Chitroda Nagar, Valli Peer, Station Road, Kalyan (West)-421 301, Tel: (0251)-2316063/7191, **Kolhapur:** Ayodhya Towers, 2nd Floor, C.S.No.511, KH-1/2, 'E'Ward, Dabholkar Corner, Station Road, Kolhapur-416 001, Tel:(0231) 2657315, **Nasik:** Apurva Avenue, Ground Floor, Near Kusumagraj Pratishthan, Tilak Wadi, Nasik-422002, Tel:0253-2570251/252, **Panaji:** E.D.C. House, Ground Floor, Dr. A.B. Road, Panaji, Goa-403 001, Tel:2222472, **Pune:** (1) 1099A, First Floor, Maheshwari Vidya Pracharak Mandal Building, Near Hotel Chetak, Model Colony Road, Shivaji Nagar, Pune - 411 016, Tel : 25670419, (2) City Pride, 1st Floor, Plot No.92/C, D III Block, MIDC, Mumbai-Pune Highway, Kalbhor Nagar, Chinchwad, Pune-411 019, Tel:65337240, **Thane:** Suraj Arcade, Ground Floor, Next to Deodhar Hospital, Opp. to HDFC Bank, Gokhale Road, Thane (West) - 400 602, Tel: 2533 2409, **Vashi:** Ground Floor, Indian Institute of Capital Markets (formerly UTI-ICM Building), Plot no.82, Sector 17, Vashi, Navi Mumbai - 400 703. Tel:27893918/2249.

#### NAGPUR REGION

**Bhopal:** 1st Floor, Ganga Jamuna Commercial Complex, Plot No. 202, Maharana Pratap Nagar, Zone-1, Scheme 13, Habeeb Ganj, Bhopal-462 011, Tel: (0755) 2558308 2578408, **Indore:** UG 3 & 4, Starlit Tower, YN Road, Indore-452 001, Tel:(0731) 2533869/4958, **Nagpur:** 1st Floor, Shradha House, S. V. Patel Marg, Kings Way, Nagpur-440 001, Tel: (0712) 2536893, **Raipur:** Vanijya Bhavan, Sai Nagar, Jail Road, Raipur-492 009, Tel: (0771) 2881410 / 12.

#### UTI NRI CELL

UTI Tower, 'Gn' Block, Bandra-Kurla Complex, Bandra (E), Mumbai-400 051, Tel: 66786064 • Fax 26528175 • E-mail: uti-nri@uti.co.in

#### OFFICE OF THE REGISTRAR

M/s. Karvy Computershare Pvt. Ltd.: 21, Avenue 4, Street No.1, Banjara Hills, Hyderabad – 500 034. Tel. 23312454 / 23320751

#### DUBAI REPRESENTATIVE OFFICE

Post Box No. 29288, 17, Al Maskan, Karama, Dubai, U.A.E. Tel: 0097-1-4- 3356656 • Fax: 3356636.

#### BAHRAIN REPRESENTATIVE OFFICE

16, Ground Floor, Manama Centre, Post Box 1395, Manama, Bahrain Tel: 00973-17-212410 • Fax: 212415.

#### CHIEF REPRESENTATIVE COLLECTION CENTRES (CRCC)

**Jalandhar:** 158, New Jawahar Nagar, Jalandhar City – 144 001, Tel.: 0181-2225441 **Valsad:** Madanwad, Kamla Nehru Road, Valsad – 396 001, Tel.: 02632-253933/807 **Jabalpur:** 1st Floor, Chandrika Tower, Shastri Bridge Road, Napier Town, Jabalpur, M.P. – 482 001, Tel.: 0761-5004816 **Pondicherry:** 52, Canteen Street, 2nd Floor, Pondicherry - 605 001,

Tel.: 0413-2225772, **Cuttack**: Sailashree, Raja Bagicha Labour Colony, Cuttack, Orissa – 753 009, Tel.: 0671-2625115, **Jalgaon**: G-13, 2nd Floor, V. V. Municipal Market, Golani Market, Jalgaon, Maharashtra – 425 001, Tel.: 0257-2234419, **Jammu**: 320 A Gandhi Nagar, Jammu – 180 004, Tel.: 0191-2432596, **Roorkee**: 33, Civil Lines, Behind Sarthak Nursing Home, Roorkee (UP), Haridwar – 247 667, Tel.: 0133-2275070, **Bhilai**: Shop No.187, Zonal Market, Sector – 10, Bhilai, Durg – 490 006, Tel.: 0788-2261399/499, **Karimnagar**: D. No. 3-5-332, Gandhi Road, Beside ING Vyasya Bank, Karimnagar, AP – 505 001, Tel.: 0878-2234051, **Davangere**: No.263/9, Davangere Club Complex, Pravasi Mandir Road, Near Jayadev Circle, Davangere, Karnataka – 577 002, Tel.: 08192-256288, **Jalpaiguri**: Kohinoor Bldg. (New), D.B.C. Road, Jalpaiguri – 735 101, Tel.: 03561-231402, **Krishnanagar**: Udayan, 20, M. M. Ghosh Road (Near Sadar Hospital, Traffic Mour), P. O. Krishnanagar, Dist. Nadia – 741 101, Tel.: 953472-253169, **Agartala**: C/o. Shri Rakhil Chandra Saha, Chandra Saha Jagaharimura, P.O. Agartala College, Agartala – 799 004, Tel.: 0381-2302427, **Dhanbad**: 305, Shree Ram Plaza, Bank More, P.O. and Dist.: Dhanbad - 826 001, Tel.:0326-2304024, **Kaira / Kheda / Anand**: Subhash Corner, PU Bhagol, Station Road, Off. Ghodia Bazar, Nadiad, Kheda - 387 001, Tel.: 0268-2565557, **Moradabad**: C-20, Ram Ganga Vihar, Phase-II, Near Trade Tax Office, Moradabad - 244 001, Tel.: 0591-2451434.

#### HCL COLLECTION CENTRE

**Ahmednagar**: No.10, Ground Floor, Kalpana Palace, Ganeshwadi, Ahmednagar-414001, Mobile No.: 9850007454, **Anantapur**: Dr No. 15-322, 2nd Floor, Nitin Complex, Vinayaka Chowk, Saphagiri Circle, Anantapur A.P., Mobile No.: 8554241311, 9908083880, **Asansol**: H No. 87, Aparc Garden, P O Asansol South, Dist Burdwan, West Bengal-713304, Mobile No.: 9333111657, **Aurangabad**: Plot No. 23, Raman Tara Building, Shantiniketan Society, Near Shani Mandir, Aurangabad - 431001, Tel.: 0240-6451725, **Balalore**: Plot No. 9, O T Road, Balalore-756001, Mobile No.: 9937708486, **Bareilly**: 1166 Janakpuri, Opp Prabhakar Nagar Gate, Pilibhit Road, Bareilly, U.P.-243122, Tel.: 0581-2303184, **Belgaum**: Shop # 1, (Bs1) Guruprasad Apartment, Plot No.302, Near SBI Bank, Shivabasvanagar, Belgaum-590010, Tel.: 0831-6451376, **Bhagalpur**: Tilkamanjhi, Barari Road, Bhagalpur, Bihar-812001, Mobile No.: 9835406614, **Bhavnagar**: Shop No. 115, Madhav Hill, Waghawadi Road, Bhavnagar, Gujarat-364002, Mobile No.: 9327049263, **Bhilwara**: G-16 Shree Cloth Market, Pur Road, Bhilwara, Rajasthan-311001, Mobile No.: 9828763968, **Bikaner**: Ground Floor, Bapuji Plaza, Bikaner, Rajasthan, Mobile No.: 9982033974, **Bokaro**: HCL Infosystems Ltd., H No. 04, First Floor, Cooperative Society, Bokaro Steel City, Bokaro, Jharkhand-827002, Mobile No.: 9431738280, **Burdwan**: Kali Bazar, Near Mousumi Club, PO& Dist Burdwan, West Bengal-713101, Mobile No.: 9434661520, **Dindigul**: 91/32, Sona Tower, Ground Floor, New Agraharam, Palani Road, Dindigul-624001, Mobile No.:9894539245, **Erode**: No.45/4 1st Floor, Near SBI ATM, Perundurai Road, Erode-638011, **Gandhinagar**: G-8 1st Floor, Sky View Complex, Sector 22, Gandhinagar, Gujarat-382022, Tel.: 079-23247187, **Goa**: F-8 First Floor, Casa Dos Aliados, Behind Gomant Vidya Niketan, Abade Faria Road, Margao, Goa-403601, Mobile No.: 9923497564, **Gorakhpur**: 10 Vind Vasini Nagar, Near Star Hospital, Gorakhpur, U.P.-273001, Tel.: 055-6452102, **Gulbarga**: Shop No. G15, Yathrik Niwas Complex, New Jewargi Road, Gulbarga-585102, Karnataka, Mobile No.: 9980080115, **Guntur**: Dr No. 9-13/9, 2nd Floor, Naaz Building, Naaz Circle, Guntur-522001, Mobile No.: 8632324006, 9989008499, **Jamnagar**: 104 Madhav Complex, Opp. D K V College, P N Marg, Jamnagar-361008, Tel.: 0288-2751002, **Jhansi**: UTI Collection Centre, Room No. 106, 1st Floor, Malti Bung, Opp. 48 Chambers, Near SBI Main Branch, Opp UTI MF Office, Civil Lines, Jhansi, Tel.: 0510-3202014, **Junagadh**: Shop No.F2, Marrigold Complex, 1st Floor Opp. Rayaji Raug, Near Motibaug Circle Junagadh-362001, Tel.: 0285 2674238, Mobile No.: 9426481646, **Kannur**: TPS(4) 580, O Pearl Building, Near Makkani South Bazar, Kannur-2, Mobile No.: 9847928690, **Kottayam**: Hobnob Building, 1st floor, Muttambalam P.O., Kanjikuzhi, Kottayam-686 004, Kerala State, Mobile No.: 9447095220, **Malout**: Near Azad Auto Store, G T Road, Malout-152107, Mobile No.: 9417669417, **Mehsana**: Meghraj Complex, 1st Floor F/2, Near ONGC Colony, Palavasana Chokdi Mehsana-384003, Mobile No.: 9376226246, **Muzaffarpur**: 1st Floor PNB Building, Near MDDM College, Muzaffarpur, Bihar-842001, Mobile No.: 9234426310, **Mysore**: 2799/1-A, 8th Cross, Adipampa Road, V V Mohalla, Mysore, A.P.- 570002, Mobile No.: 8214255520, **Nagarkoil**: David Building Upstairs, College Road, Near W.C.C. Nagarkoil-629001, Tel.: 04652-403670, **Nellore**: Door No. 24-2/405, Santhi Apartment, Near KVR Petrol Pump, Dargamitta, Nellore-524003, Tel.: 0861-2312922, **Ongole**: 37-1-404 (7) 1st Floor, Beside UTI Bank, Trunk Road, Bagya Nagar, Ongole-523001, Tel.: 08592-223488, **Pathanamthitta**: Room No. 2, 1st Floor, Thengintharayi Building, College Junction, Pathanamthitta-689645, Mobile No.: 9846128369, **Pondicherry**: 52 Chetti Street, Pondicherry-605 001, Tel.: 0413-2220588, **Porbandar**: Shop # 26, 2nd Floor, Indraprastha Hotel, Opp. Kuber Hotel, Porbandar, Gujarat-360575, Mobile No.: 9426476220, **Ratnagiri**: HCL Infosystems Ltd, 693/D Omkar Building, Parkobal, Shivaji Nagar, Ratnagiri-415612, Tel.: 02352-227830, **Rewari**: First Floor, Shop No.17, Maharana Pratap Chowk, Bawal Road, Rewari, Hararyana-123401, Mobile No.: 9416816146, **Salem**: Jalal Complex, Shop No. 40F/267, Advitha Ashram Road, A V K Nagar, Salem-636004, Tel.: 0427-4041030, **Sangli**: Gurukupa Sahniwas, Gala No. B-2, Sambhare Road, Opp Velankar Ayurvedic Aushadhalaya, Gaon Bhag, Near Maruti Chowk, Sangli-416416, Tel.: 0233-2332560, **Satara**: Shivratap Apartment 313/7, Plot No. 11, Gala No.10, New Radhika Road, Opp Vimal City, Satara-415002, Mobile No.: 9326853640, **Satna**: 1st Floor, A-3 Satna Plaza Building, Rewa Road, Satna, Mobile No.: 9993550933, **Silchar**: C/o Mr. Das, 1st Floor, Hospital Road, After Ambicagiri Point, Silchar- 01, Mobile No.: 9854176385, **Solapur**: Office No. 5, Veerag Vertes, 83 A Railway Line, Near UTI Bank, Solapur-413001, Mobile No.: 9860699642, **Surendranagar**: Shop # 2, Ajmera Chamber's, S T Stand Road, Jin Compound, Surendranagar-363002, Tel.: 02752-230448, **Tirunelveli**: No.68 / 2 Veilu Pillai Complex, Upstairs, Swami Sannathi Street, Tirunelveli Town, Tirunelveli-627 006, **Tuticorin**: No.18N / 3 City Plaza Complex, Palai Road, Near Ramiah Lodge, Tuticorin-628 002.

## INSTRUCTIONS

- Please read the terms of the Key Information Memorandum and the Offer Document carefully before filling the Application Form. Investors are notified to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- Before submission of application form at UTI Financial Centres and other authorised collection centres, investors may please ensure that the form has been filled in completely and signed by all the applicants properly as incomplete application is liable to be rejected.
- NRI applicants should preferably submit the application at NRI Branch, Mumbai, Dubai Representative Office, Bahrain Representative Office or any Financial Centre of UTI AMC along with NR(E) / NR(O) cheque or a rupee draft payable at the place where the application is submitted.
- The cheque / draft accompanying an application should be made payable in favour of 'UTI-SPREAD Fund'.**
- Please fill in the names of the applicant(s) / minor / institution / parent or lawful guardian/ alternate applicant/ nominee, etc. at the appropriate places in the application form. PIN code no. must be given with address to avoid delay / loss in transit.
- It is mandatory for an applicant to furnish full and correct particulars of bank account such as nature and number of the account, name and address of the bank, name of the branch, MICR code of the branch (where applicable) etc. at the appropriate place in the application form. Application without such bank particulars and is liable to be rejected.
- Franchise offices / chief representatives and agents are not permitted to accept cash with an application form. UTI AMC will, in no way, be responsible for such or other wrong tenders.**
- SEBI has made it mandatory for all applicants, irrespective of amount of investment, to furnish Income Tax PAN. An application without PAN will be rejected. Investors are required to provide the photocopy (self attested by the investor) of the PAN card along with the application form. In the absence of photocopy of the PAN card, copy of the refund order issued by the IT Department, wherein PAN of the investor is stated will also be accepted. If the investment is in the name of minor, the PAN of the minor or his father/mother/guardian whose particulars are provided in the application form is to be provided.
- E-mail communication** : Unitholders who have opted to receive documents/communication by e-mail will be required to download and print the documents/ communication after receiving the e-mail from UTI AMC. Should the unitholder experience any difficulty in accessing the electronically delivered documents/ communication, the unitholder should advise the Registrars immediately to enable UTI AMC to send the same through alternate means. In case of non-receipt of any such intimation of difficulty within 24 hours from receiving the e-mail, it will be regarded as receipt of e-mail by the unitholder.  
It is deemed that the unitholder is aware of all the security risks including possible third party interception of the documents/communications and contents of the same becoming known to third parties.
- In case of investment of Rs.50,000/- and above, investors are required to submit copy of acknowledgement provided by service provider as per Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.

## CHECK LIST

Please ensure that :

- Your name and address is given in full.
- All PAN details are given, failing which your application will be rejected.**
- Copy of KYC acknowledgement provided by service provider is given (wherever relevant) failing which your application will be rejected.
- Your bank account details are entered completely and correctly. This is mandatory. If this is not included, your application will be rejected.
- Your preferred Option is selected.
- Your investment is not less than the minimum investment amount.
- Your application is completed and signed by all applications.
- Cheques are drawn in favor of 'UTI – SPREAD Fund', dated, signed and crossed 'A/c Payee only'.



# UTI-SPrEAD Fund

Sr.No.2007/

(PLEASE READ INSTRUCTIONS CAREFULLY TO HELP US SERVE YOU BETTER)

Registrar Sr. No.

### DISTRIBUTOR INFORMATION (only empanelled Distributors/Brokers will be permitted to distribute Units)

ARN	Name	Sub-Broker / Bank Branch Code	M O Code
ARN-0010			

### RECEIVING ENTITY INFORMATION

CR / CA Code	Bank Branch	Bank Sr. No.

Have you invested in UTI MF earlier. Yes  No

If yes, please provide: Scheme Name ..... Folio / Investor ID ..... (Optional)

### APPLICANT'S PERSONAL DETAILS (Please fill in Block Letters)

Name of first applicant (Mr/Ms/Mrs) [Grid]

Address for correspondence (PO box address is not sufficient) [Grid]

City [Grid] Pin [Grid]

State [Grid] **Furnishing PIN code is mandatory**

Date of Birth [d|m|y|y] Compulsory field in case of Minor

Guardian name (if minor) [Grid]

(Contact person - for institutional applicants)

Telephone Number Res [Grid] Mobile No. [Grid]

Off [Grid] Fax [Grid]

e-mail [Grid]

If you wish to receive the following via e-mail Please (✓) ( Refer instruction i)

Account Statement  Annual Report  Transaction Confirmation  Communication of change of address, bank details, etc.

Overseas address [Grid]

City [Grid] State [Grid]

Country [Grid] Pin [Grid]

(Overseas address is mandatory for NRI/FII applicants in addition to mailing address in India)

PAN of 1st applicant \* [Grid] Enclosed  PAN Card Copy

Name of 2nd applicant (Mr/Ms/Mrs) [Grid]

PAN of 2nd applicant \* [Grid] Enclosed  PAN Card Copy

Name of 3rd applicant (Mr/Ms/Mrs) [Grid]

PAN of 3rd applicant \* [Grid] Enclosed  PAN Card Copy

\* Refer instruction (h)

### OPTION FOR DESPATCH OF STATEMENT OF ACCOUNT IN CASE OF NRIs

To be despatched to my Foreign Address  To be despatched to my Relative's Address in India

### BANK PARTICULARS [for redemption/refund/direct credit (Mandatory as per SEBI guidelines)]

Bank Name [Grid] Branch [Grid]

Address [Grid] MICR Code [Grid]

City [Grid] PIN [Grid] (this is a 9-digit number next to your cheque number)

Furnishing of PIN Code is mandatory

Account type: Current  Saving  NRO  NRE  Account No. [Grid]



(Application form continued on the reverse)



### ACKNOWLEDGEMENT of UTI-SPrEAD Fund (To be filled in by the Applicant)

Sr.No. 2007/

Received from Mr / Ms / M/s [Grid]

along with Cheque / DD No.\* [Grid] dated [Grid]

Drawn on (Bank) [Grid]

for Rs. (in figures) [Grid]

Stamp of UTI AMC Office/Authorised Collection Centre

\* Cheques and drafts are subject to realisation.



**PAYMENT DETAILS**

Cheque / DD* No.	<input type="text"/>	Amt. of investment (i)	<input type="text"/>	(Minimum amount Rs.5,000/- & in multiple of Re.1/-)
Date	<input type="text"/>	DD Charges if any (ii)	<input type="text"/>	
Bank	<input type="text"/>	Net amount paid (i-ii)	<input type="text"/>	
Branch	<input type="text"/>	Amt in words	<input type="text"/>	
Account Type Please <input checked="" type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> DD issued from abroad				

\* Please mention the application No. on the reverse of the cheque/DD. Cheque/DD must be drawn in favour of "UTI - SPREAD Fund" & crossed "A/c Payee Only"

**INVESTMENT DETAILS** Please 

**OPTION\***  Growth  Dividend (Compulsory re-investment)

\* If no option is indicated, it will be deemed to be under growth option.

**GENERAL INFORMATION** - Please  wherever applicable

<b>Status</b>	Resident Individual	<input type="checkbox"/>	Minor through guardian	<input type="checkbox"/>	HUF	<input type="checkbox"/>	Partnership	<input type="checkbox"/>	Trust	<input type="checkbox"/>
	Company	<input type="checkbox"/>	Sole Proprietorship	<input type="checkbox"/>	Society	<input type="checkbox"/>	Body Corporate	<input type="checkbox"/>	AOP	<input type="checkbox"/>
	BOI	<input type="checkbox"/>	FII	<input type="checkbox"/>	NRI	<input type="checkbox"/>	Others	<input type="checkbox"/>		
<b>Mode of holding</b>	Single	<input type="checkbox"/>	Anyone or Survivor	<input type="checkbox"/>	Joint	<input type="checkbox"/>				
<b>Occupation</b>	Business	<input type="checkbox"/>	Student	<input type="checkbox"/>	Agriculture	<input type="checkbox"/>	Self employed	<input type="checkbox"/>	Professional	<input type="checkbox"/>
	Housewife	<input type="checkbox"/>	Retired	<input type="checkbox"/>	Service	<input type="checkbox"/>	Others	<input type="checkbox"/>		

**NOMINATION DETAILS**

I/We hereby nominate the undermentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name and address of Nominee		To be furnished in case Nominee is a Minor
Name		Name of the guardian:
Date of Birth (in case nominee is a minor)		Address of guardian
Address		Signature of guardian (Mandatory)

**DECLARATION AND SIGNATURES OF APPLICANT/s**

I/We have read and understood the contents of the Offer Document and Key Information Memorandum, addenda issued till date and apply to the Trustee of UTI Mutual Fund as indicated above. I/We agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I/We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments.

\*I/We confirm that we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account. I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

\* Applicable to NRIs

**Signature of 1st Applicant/Guardian**  
Name of the 1st Authorised Signatory

\_\_\_\_\_  
Designation \_\_\_\_\_

**Signature of 2nd Applicant**  
Name of the 2nd Authorised Signatory

\_\_\_\_\_  
Designation \_\_\_\_\_

**Signature of 3rd Applicant**  
Name of the 3rd Authorised Signatory

\_\_\_\_\_  
Designation \_\_\_\_\_

**Notes :**

- If the application is incomplete and any other requirement is not fulfilled the application is liable to be rejected
- In case the applicant does not receive the Statement of Account within 30 days from the date of acceptance of the application, he/she may please write to the Registrar quoting serial number, date of acknowledgement and the name of the accepting authority.
- Please ensure that all PAN details are given, failing which your application will be rejected.**
- All communications relating to issue of Statement of Account, Change in name, Address or Bank particulars, Nomination, Redemption, Death Claims etc., may please be addressed to the Registrars:

**M/s. Karvy Computershare Pvt. Ltd.**  
21, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.  
Tel.: 23312454 / 23320751 • Fax: 23311968 • e-mail: customercare@karvy.com

Date: July 30, 2007